# Nucleophilic Addition to Olefins. 4.1 Structure-Reactivity Relationships in the Reactions of Amines with Substituted Benzylidene Meldrum's Acids. Evidence for Intramolecular Proton Transfer to Carbon

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Abstract: The reactions of piperidine and morpholine with benzylidene Meldrum's acid (1-H) and its p-OMe and p-NMe<sub>2</sub> derivatives (1-OMe, 1-NMe<sub>2</sub>) in water are characterized by a fast and a slow kinetic process. The fast reaction refers to the formation of a zwitterionic adduct ( $T_A^{\pm}$ ) which is in rapid acid-base equilibrium with  $T_A^{-}$  (eq 1). The slow process leads, via an iminium ion, to the respective benzaldehyde and Meldrum's acid anion (eq 3) whereby protonation of  $T_A^{-}$  on carbon, to form  $T_A^0$ , is rate limiting. The effect of the substituents in the olefin on rate ( $k_1$ ) and equilibrium constants ( $K_1$ ) for adduct formation indicate that the transition state is located approximately halfway between reactants and products ( $\partial \log k_1/\partial \log K_1 = 0.40-0.45$ ). On the other hand,  $\beta_{nuc}$  is extremely low (0.07-0.12), suggesting that C-N bond formation has made very little progress in the transition state. These data then suggest an imbalanced transition state for which two different and possibly complementary explanations are proposed. According to the first, rehybridization of the benzylic carbon (site of nucleophilic attack) is ahead of C-N bond formation. In the second it is assumed that some negative charge is localized on the benzylic carbon in the transition state but delocalized into the (COO)<sub>2</sub>C(CH<sub>3</sub>)<sub>2</sub> moiety in the product, as has been suggested for the nitroalkane anomaly. Protonation of  $T_A^{-}$  on carbon by morpholinium ion is retarded 1000-fold owing to a steric effect; carbon protonation by the hydronium ion occurs mainly by prior equilibrium protonation on nitrogen, to form  $T_A^{\pm}$ , followed by an intramolecular proton switch,  $T_A^{\pm} \rightarrow T_A^0$  (eq 3), through an intermediate water molecule.

As noted before, 2.3 benzylidene Meldrum's acid (1-H) is a very

1-NMe<sub>2</sub>,  $X = N(CH_3)_2$ 

reactive electrophile and appears well suited for studying structure–reactivity relationships in the nucleophilic addition to olefins. In a previous paper<sup>3</sup> we described the rather complex kinetic behavior of 1-H in aqueous solution which arises (a) from nucleophilic attack by water and hydroxide ion to form  $T_{OH}^-$ , (b) from protonation of  $T_{OH}^-$  on carbon to form  $T_{OH}^0$ , and (c) from cleavage of  $T_{OH}^0$  into benzaldehyde and the anion of Meldrum's acid (MH).

In the present paper we discuss the kinetic behavior of benzylidene Meldrum's acid as well as that of the 4-methoxy (1-OMe) and 4-N,N-dimethylamino (1-NMe<sub>2</sub>) derivatives in the presence of piperidine and morpholine in the same solvent. Our work complements a recent study by Schuster et al.<sup>4</sup> of the same re-

actions in acetonitrile and in chloroform.

# Results

General Features. Upon mixing of 1-H, 1-OMe, or  $1-\text{Me}_2N$  with an excess of piperidine or morpholine in aqueous solution one typically observes three kinetic processes. The fastest, with the relaxation time  $\tau_1$ , corresponds to reaction 1. Depending on

the pH, either  $T_A^{\pm}$  or  $T_A^{-}$  is the major species formed. The spectrum of  $T_A^{\pm}$  ( $\lambda_{max}$  263 nm,  $\epsilon$  14 600) and that of  $T_A^{-}$  ( $\lambda_{max}$  269 nm,  $\epsilon$  15 800) derived from 1-H and piperidine are shown in Figure 1; the spectra (not shown) of the morpholine adducts of 1-H and of the piperidine and morpholine adducts of 1-OMe and 1-NMe<sub>2</sub> are all very similar to the ones shown in Figure 1 as well as to that of  $T_{OH^{-}}$  ( $\lambda_{max}$  263 nm,  $\epsilon$  17 000) and of the Meldrum's acid anion ( $\lambda_{max}$  258 nm,  $\epsilon$  21 400).

In all cases we found that the reciprocal relaxation time obeys the equation

$$\tau_1^{-1} = k_1[R_2NH] + k_{-1} \frac{a_{H^+}}{K_2^{\pm} + a_{H^+}}$$
 (2)

or a special form thereof; this is consistent with rapid proton transfer  $(K_a^{\pm})$  and rate-limiting nucleophilic attack  $(k_1)$ .

The second kinetic process can be attributed to the addition of water or hydroxide ion to form  $T_{OH}$ . Since this reaction for

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<sup>(2)</sup> Schuster, P.; Polansky, O. E.; Wessely, F. Tetrahedron, Suppl. 8, Part II 1966, 463.

<sup>(3)</sup> Bernasconi, C. F.; Leonarduzzi, G. D. J. Am. Chem. Soc. 1980, 102, 1361.

<sup>(4)</sup> Schreiber, B.: Martinek, H.; Wolschann, P.; Schuster, P. J. Am. Chem. Soc. 1979, 101, 4708.

<sup>(5)</sup> Schuster, P.; Stephen, A.; Polansky, O. E.; Wessely, F. Monatsh. Chem. 1968, 99, 1246.

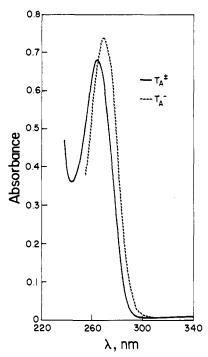


Figure 1. Spectra of  $T_A^{\pm}$  and  $T_A^{-}$  derived from 1-H and piperidine. [Pip] =  $5 \times 10^{-2}$  M,  $[1-H]_0 = 4.65 \times 10^{-5}$  M, pH 9.74 for  $T_A^{\pm}$ , pH 12.79 for

1-H has been described before<sup>3</sup> it will only be discussed to the extent that it interferes with the amine reactions.

The slowest kinetic process, with the relaxation time  $\tau_2$ , refers to the cleavage reaction 3 where  $k_i$  and  $k_{-i}$  refer to an intramo-

$$T_{A} \stackrel{+}{=} \frac{\kappa_{\alpha} \stackrel{+}{=}}{H^{+}} T_{A} \stackrel{-}{=} \frac{\kappa_{3p, \text{ ocid}}}{k_{3p, \text{ base}}} H \stackrel{Ar}{=} C C C CH_{3}$$

$$NR_{2} CCO CH_{3}$$

$$K_{-};$$

$$CCO CH_{3}$$

$$CCO CH_{3}$$

ArCH=0 + 
$$\frac{C00}{C00}$$
 CH<sub>3</sub> (3)

lecular proton switch, most likely with a water molecule as an intermediary (see Discussion), while  $k_{3p}$  and  $k_{-3p}$  are pseudofirst-order rate coefficients for intermolecular proton transfer, defined as

$$k_{3p} = k_{3p}^{H} a_{H^{+}} + k_{3p}^{w} + k_{3p}^{AH} [R_2 N H_2^{+}]$$
 (4)

$$k_{-3p} = k_{-3p}^{\text{w}} + k_{-3p}^{\text{OH}} a_{\text{OH}} + k_{-3p}^{\text{A}} [R_2 \text{NH}]$$
 (5)

with  $k_{3p}^{H}$ ,  $k_{3p}^{w}$ , and  $k_{3p}^{AH}$  being the rate constants for protonation of  $T_A$  on carbon by the hydronium ion, water, and the ammonium ion, respectively, and  $k_{-3p}^{\text{OH}}$ ,  $k_{-3p}^{\text{OH}}$ , and  $k_{-3p}^{\text{A}}$  being the rate constants for deprotonation of  $T_A^{\text{O}}$  by water, hydroxide ion, and the amine, respectively. This process was observed with all three olefins and was measurable by conventional spectrophotometric methods; it was investigated in detail for the morpholine/1-H pair

We also conducted experiments in which the equilibrium of eq 1 was approached from the product side, by first generating  $T_A^{\pm}$ and/or T<sub>A</sub> in basic solution, followed by mixing with an acidic solution (pH-jump experiments). In the course of performing these experiments we observed not only the relaxation time associated with reaction 1  $(\tau_1)$  but three additional kinetic processes. Two of these are faster than the  $\tau_1$  process and are due to reactions of  $T_{OH}^-$  which is cogenerated along with  $T_A^{\pm}$  and  $T_A^-$  in basic

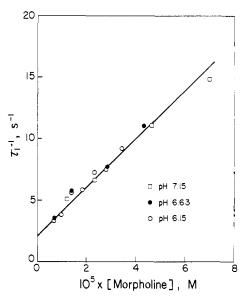


Figure 2.  $\tau_1^{-1}$  for the reaction of 1-H with morpholine.

solution; they refer to protonation on carbon to form T<sub>OH</sub><sup>0</sup> and acid-catalyzed breakdown of TOH to the olefin. The third process is much slower than the  $\tau_1$  process and refers to hydrolysis of the substrate. Since these processes have already been thoroughly analyzed<sup>3</sup> they will not be discussed further.

All kinetic results reported were obtained under pseudo-firstorder conditions with the olefin as the minor component; all measurements were in water at 25 °C and at a constant ionic strength of 0.5 M, maintained with KCl.

Benzylidene Meldrum's Acid (1-H) Adduct Formation. Solutions of 1-H at pH 36 were mixed with amine solutions and the kinetics was followed in the stopped-flow apparatus by monitoring either the decrease in absorbance at 320 nm (1-H) or the increase in absorbance at 260 nm ( $T_A^{\pm}$  and/or  $T_A^{-}$ ). With morpholine  $\tau_1^{-1}$  was determined at pH 8.82, where morpholine acts as its own buffer, and at pH 7.15, 6.63, and 6.15 in cacodylate buffers. The results are summarized in Table S1.<sup>7</sup> Plots of  $\tau_1^{-1}$  vs. morpholine concentration at pH 7.15, 6.63, and 6.15 are shown in Figure 2. Points determined at different pH fall on the same straight line and thus are consistent with the equation

$$\tau_1^{-1} = k_1[R_2NH] + k_{-1} \tag{6}$$

which is a special case of eq 2 where  $a_{\rm H^+}\gg K_{\rm a}^{\pm}$ . From the slope we obtain  $k_1=2.04\pm0.2\times10^5~{\rm M^{-1}~s^{-1}}$  and from the intercept  $k_{-1}=2.0\pm0.1~{\rm s^{-1}}$ . The data at pH 8.82 yield  $k_1=1.50\pm0.2\times10^5~{\rm M^{-1}~s^{-1}}$ . We shall use the average,  $1.75\times10^5~{\rm M^{-1}~s^{-1}}$ , in our subsequent discussion.

Further evidence that the intercept in Figure 2 corresponds to  $k_{-1}$  (eq 6), implying  $a_{H^+} \gg K_a^{\pm}$ , comes from pH-jump experiments which were conducted in the following way. The olefin was first mixed with enough morpholine buffer at pH 9.05 to convert it virtually quantitatively into a mixture of TA<sup>±</sup> and TA<sup>-,8</sup> This solution was then mixed with an acidic buffer in the stopped-flow apparatus. Under these conditions the reaction corresponds essentially to an irreversible breakdown of TA and/or TA according

$$T_{A}^{-} \xrightarrow{\stackrel{H^{+}}{K_{1}\pm}} T_{A}^{\pm} \xrightarrow{k_{-1}} 1 + R_{2}NH$$
 (7)

with

$$\tau_1^{-1} = k_{-1} \frac{a_{\rm H^+}}{K_a^{\pm} + a_{\rm H^+}} \tag{8}$$

<sup>(6)</sup> Acidic solutions were required to prevent conversion of the olefin into

T<sub>OH</sub>.

(7) See paragraph at end of paper regarding supplementary material.

(8) Some T<sub>OH</sub> is also formed.

Table I. Reactions of Benzylidene Meldrum's Acid and of 4-Methoxybenzylidene Meldrum's Acid with Morpholine and Piperidine. pH-Jump Experiments

	F	Zar vania						
		[R <sub>2</sub> NH] <sub>tot</sub> ,	[R <sub>2</sub> NH], <sup>c</sup>					
pН	buffer	M	М	$\tau^{-1}$ , s <sup>-1</sup>				
		Morpholine	+ 1-Ha					
4.01	formate <sup>d</sup>	10-2	$1.70 \times 10^{-7}$	2.02				
3.71	formate <sup>d</sup>	10-2	$8.50 \times 10^{-8}$	1.97				
3.39	$formate^d$	10-2	$4.08 \times 10^{-8}$	1.97				
3.07	HC1	10-2	$1.95 \times 10^{-8}$	2.01				
3.07	HCl	$2.5 \times 10^{-3}$	$4.89 \times 10^{-9}$	1.96				
2.76	HC1	$5.0 \times 10^{-3}$	$4.80 \times 10^{-9}$	2.04				
2.76	HCl	10-3	$9.60 \times 10^{-10}$	1.98				
Piperidine + 1-H <sup>e</sup>								
6.88	${\sf cacodylate}^f$	$2.0 \times 10^{-4}$	5.92 × 10-9	$1.60 \times 10^{-2}$				
	f	$2.0 \times 10^{-4}$	$5.92 \times 10^{-9}$	$1.69 \times 10^{-2}$				
	$\ddot{f}$	$2.0 \times 10^{-4}$	$5.92 \times 10^{-9}$	$1.70 \times 10^{-2}$				
	g	$5.0 \times 10^{-4}$	$1.48 \times 10^{-8}$	$1.61 \times 10^{-2}$				
	g	10-3	$2.96 \times 10^{-8}$	$1.74 \times 10^{-2}$				
	g	$2 \times 10^{-3}$	$5.92 \times 10^{-8}$	$1.61 \times 10^{-2}$				
	g	$3 \times 10^{-3}$	$8.90 \times 10^{-8}$	$1.49 \times 10^{-2}$				
	g	$3.9 \times 10^{-3}$	$1.15 \times 10^{-7}$	$1.62 \times 10^{-2}$				
7.03	cacodylate <sup>g</sup>		$4.06 \times 10^{-7}$	$7.80 \times 10^{-3}$				
	g	$2 \times 10^{-2}$	$8.34 \times 10^{-7}$	$6.47 \times 10^{-3}$				
	g	$4 \times 10^{-2}$	$1.67 \times 10^{-6}$	$5.45 \times 10^{-3}$				
	g	$8 \times 10^{-2}$	$3.33 \times 10^{-6}$	$3.96 \times 10^{-3}$				
	g	10-1	$4.17 \times 10^{-6}$	$3.54 \times 10^{-3}$				
	Morpholine + 1-OMe <sup>h</sup>							
2.84	HCl	$5 \times 10^{-3}$	$5.74 \times 10^{-9}$	9.87				
1.87	HC1	$5 \times 10^{-3}$	$6.14 \times 10^{-10}$	9.77				
1.89	HC1	$1.2 \times 10^{-2}$	$1.55 \times 10^{-9}$	9.80				
	Piperidine + 1-OMe <sup>h</sup>							
2.86	HCl	$5 \times 10^{-3}$	$1.44 \times 10^{-11}$	$7.74 \times 10^{-2}$				
1.88	HCl	$5 \times 10^{-3}$	$1.51 \times 10^{-12}$	$7.78 \times 10^{-2}$				
1.88	HCl	10-2	$3.02 \times 10^{-12}$	$7.59 \times 10^{-2}$				

<sup>a</sup> [Substrate]  $_0$  = 2.5-4.2 × 10<sup>-5</sup> M, monitored at 320 and/or 260 nm. <sup>b</sup> Total amine concentration. <sup>c</sup> Free amine concentration. <sup>d</sup> Total buffer concentration 9.8 × 10<sup>-3</sup>, 1.3 × 10<sup>-2</sup>, and  $2.05 \times 10^{-2}$  M at pH 4.01, 3.71, and 3.39, respectively. <sup>e</sup> [Substrate]  $_0 = 1.1-2.3 \times 10^{-5}$  M, monitored at 240 nm. <sup>f</sup> Total buffer concentration  $3.2 \times 10^{-2}$ ,  $6.4 \times 10^{-2}$ , and  $1.28 \times 10^{-1}$  M in first, second, and third run, respectively.  $^g$  Total buffer concentration  $6.4 \times 10^{-2}$  M.  $^h$  [Substrate]  $_0 = 2-4 \times 10^{-5}$  M, monitored at 370 nm.

The results are summarized in Table I. They show again that  $au_1^{-1}$  is pH independent because  $a_{\rm H^+} \gg K_{\rm a}^{\pm}$  and thus  $au_1^{-1} = k_{-1}$  with  $k_{-1} = 1.98 \pm 0.05 \, {\rm s}^{-1}$ , in agreement with the data in cacodylate buffers.

With piperidine  $\tau_1^{-1}$  was measured at pH 8.40 in an Nmethylmorpholine buffer, at piperidine concentrations ranging from  $10^{-5}$  to  $2 \times 10^{-4}$  M. The results are summarized in Table S2;<sup>7</sup>  $\tau_1^{-1}$  obeys eq 6 with  $k_1 = 2.70 \pm 0.20 \times 10^5 \text{ M}^{-1} \text{ s}^{-1}$  while  $k_{-1}$  is indistinguishable from zero. A value for  $k_{-1}$  was obtained from pH-jump experiments which were conducted in a similar way as in the morpholine reaction and the results of which are included in Table I. At pH 6.88 and a free piperidine concentration  $\leq 1.15 \times 10^{-7} \text{ M} \ \tau_1^{-1}$  is seen to be independent of amine concentration  $(k_1[R_2NH] \ll k_{-1})$  and  $\tau_1^{-1} = \hat{k}_{-1} = 1.65 \pm 0.07$  $\times$  10<sup>-2</sup> s<sup>-1</sup> is obtained.

In a second series of experiments at a slightly higher pH and significantly higher amine concentrations,  $\tau_1^{-1}$  is seen to decrease with piperidine concentration (Table I, pH 7.03) which is contrary to expectation (eq 6). This decrease is a consequence of the  $k_{-1}$ step being slower than the hydrolysis of 1-H which forms benzaldehyde and Meldrum's acid anion. Thus, instead of dealing with the simple reaction  $T_A^{\pm} \to R_2 NH + 1$ -H we are dealing with a scheme which can be approximated by eq 9 where 1-H is a steady-state intermediate and  $\tau_1^{-1} = k_{-1} k_h / (k_1 [R_2 NH] + k_h)$ .

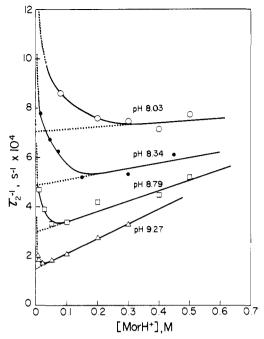


Figure 3.  $\tau_2^{-1}$  for the reaction of 1-H with morpholine.

The acidity constants  $K_a^{\pm}$  of  $T_A^{\pm}$  were determined by a classical spectrophotometric method, exploiting the relatively large difference in extinction coefficients between T<sub>A</sub><sup>±</sup> and T<sub>A</sub><sup>-</sup> at 280 nm (Figure 1). The results, which are summarized in Table S3,7 were fitted to the equation

$$pK_a^{\pm} = pH + \log \frac{OD_B - OD}{OD - OD_A}$$
 (10)

where OD<sub>B</sub>, OD<sub>A</sub>, and OD are the optical densities at pH  $\gg$  p $K_a^{\pm}$ , pH  $\ll$  p $K_a^{\pm}$ , and pH  $\sim$  p $K_a^{\pm}$ , respectively. For the piperidine adduct p $K_a^{\pm}$  = 11.64  $\pm$  0.05; for the morpholine adduct p $K_a^{\pm}$  =  $8.90 \pm 0.05$  is obtained.

In the morpholine reaction,  $pK_a^{\pm}$  was independently determined by a kinetic method which is based on first generating the morpholine complexes  $T_{Mor}^{\pm}$  and  $T_{Mor}^{-}$  and then adding a high enough piperidine concentration to assure complete conversion into  $T_{Pip}^{\pm}$ . This conversion, which was monitored at 280 nm, can be described by the equation

$$T_{\text{Mor}} \xrightarrow{\frac{H^{+}}{K_{\perp}^{\pm}}} T_{\text{Mor}}^{\pm} \xrightarrow{\frac{k_{-1}^{\text{Mor}}}{k_{\perp}^{\text{Mor}}[\text{Mor}]}} 1 - H \xrightarrow{k_{\perp}^{\text{Mor}}[\text{Pip}]} T_{\text{Pip}}^{\pm}$$
 (11)

with 1-H being a steady-state intermediate; the observed pseudo-first-order rate constant is given by

$$k_{\text{obsd}} = \frac{a_{\text{H}^+}}{K_{\text{a}^{\pm}} + a_{\text{H}^+}} \frac{k_{-1}^{\text{Mor}} k_1^{\text{Pip}} [\text{Pip}]}{k_1^{\text{Mor}} [\text{Mor}] + k_1^{\text{Pip}} [\text{Pip}]}$$
(12)

in which  $K_a^{\pm}$  is the only unknown. The data are summarized in Table S4;  $\tilde{a} p K_a^{\pm} = 8.95$  is obtained, which agrees very well with  $pK_a^{\pm} = 8.90$  measured spectrophotometrically.

Benzylidene Meldrum's Acid (1-H). Cleavage Reaction with Morpholine. The cleavage reaction, eq 3, was measured at 260 nm; at this wavelength the products benzaldehyde and Meldrum's acid anion have an approximately twofold higher absorption than  $T_A^{\pm}$  and  $T_A^{-}$ . Rates were measured as a function of morpholine/morpholinium ion concentration at pH 8.03, 8.34, 8.79, and 9.27. The results are summarized in Table S5;7 Figure 3 shows plots of  $\tau_2^{-1}$  vs. morpholinium ion concentration.

At low morpholinium ion concentration  $\tau_2^{-1}$  decreases with increasing concentration, passes through a minimum, and then increases linearly. The minima occur all at a free amine concentration of about 0.05-0.07 M, whereas the slopes of the linear portion decrease with decreasing pH. This rather complex kinetic behavior can be interpreted as follows.

Reactions involving T<sub>OH</sub> compete significantly with reaction 3 as long as the morpholine concentration is low and this competition leads to the minima in Figure 3 as detailed below. On the other hand, at morpholine concentrations ≥0.07 M this competition becomes insignificant and  $\tau_2^{-1}$  is now only due to reaction 3. Under these conditions all the substrate is in the form of  $T_A^{\pm}$  and  $T_A^{-}$ ; assuming that the  $k_i$  and/or  $k_{3p}$  step are rate limiting,  $\tau_2^{-1}$  is given by

$$\tau_2^{-1} = \frac{K_a^{\pm}}{K_a^{\pm} + a_{H^{+}}} (k_{3p}^{H} a_{H^{+}} + k_{3p}^{w} + k_{3p}^{AH} [R_2 N H_2^{+}]) + \frac{a_{H^{+}}}{K_a^{\pm} + a_{H^{+}}} k_i$$
(13)

The data at pH 9.27 define the best straight line and hence were chosen for calculating  $k_{3p}^{AH}$  from eq 13 as  $k_{3p}^{AH}$  = slope· $(K_a^{\pm} + a_{H^+})/K_a^{\pm} = 8.85 \times 10^{-4} \,\mathrm{M}^{-1} \,\mathrm{s}^{-1}$ . Using this  $k_{3p}^{AH}$  value, the slopes referring to the other pH values were calculated as slope =  $k_{3p}^{AH}K_a^{\pm}/(K_a^{\pm} + a_{H^+})$  and lines of the thus calculated slopes were drawn through the experimental points (Figure 3). The fit between calculated and observed slopes at the lower pH values is very good. This indicates that competing carbon protonation of  $T_A^{\pm}$  by  $R_2NH_2^+$ , to give  $T_A^+$ , cannot be significant. If it were

significant, eq 13 would contain an additional term of the form  $k_{3p}^{+AH}[R_2NH_2^+]a_{H^+}/(K_a^{\pm}+a_{H^+})$  which would lead to steeper slopes at low pH.

The intercepts of the extrapolated lines are given by

intercept = 
$$\frac{(k_{3p}^{H}K_{a}^{\pm} + k_{i})a_{H^{+}} + k_{3p}^{W}K_{a}^{\pm}}{K_{a}^{\pm} + a_{H^{+}}}$$
 (14)

A plot of (intercept)  $(K_a^{\pm} + a_{H^{+}})/K_a^{\pm}$  vs.  $a_{H^{+}}$  (not shown) affords a straight line from which  $k_{3p}^{H} + k_i/K_a^{\pm} = 5.6 \pm 1.0 \times 10^5 \text{ M}^{-1}$  s<sup>-1</sup> and  $k_{3p}^{\text{w}} = 0.0 \pm 5.0 \times 10^{-5} \text{ s}^{-1}$  are obtained. The latter number shows that carbon protonation of TA- by water is an insignificant pathway in the pH range used. It also allows us to deal with the question of whether carbon protonation of  $T_A^{\pm}$  by water  $(k_{3p}^{+w})$ might be a significant pathway. Such a pathway would contribute a term of the form  $k_{3p}^{+w}a_{H^+}/(K_a^{\pm} + a_{H^+})$  to eq 13 and 14 and thus one would have to set  $k_{3p}^{H} + (k_i + k_{3p}^{+w})/K_a^{\pm} = 5.6 \times 10^5$  M<sup>-1</sup> s<sup>-1</sup> instead of  $k_{3p}^{H} + k_i/K_a^{\pm} = 5.6 \times 10^5$  M<sup>-1</sup> s<sup>-1</sup>. Since carbon protonation of  $T_A^{\pm}$  is expected to be even slower than that of  $T_A^{-}$ , we can set  $k_{3p}^{+w} < (\ll) k_3^{w}$ , for which the upper limit is given by the error limit of  $5 \times 10^{-5}$  s<sup>-1</sup>. Hence  $k_3^{+w}/K_a^{\pm} < (\ll) 4 \times 10^4$  M<sup>-1</sup> s<sup>-1</sup>, which is much lower than  $5.6 \times 10^5$  M<sup>-1</sup> s<sup>-1</sup> and shows that the  $k_{3p}^{+w}$  pathway is not a significant one. On the other hand, it will be shown in the Discussion that the value of  $5.6 \times 10^5 \,\mathrm{M}^{-1}$  s<sup>-1</sup> for  $k_{3p}^{\mathrm{H}} + k_{\mathrm{i}}/K_{\mathrm{a}}^{\pm}$  is consistent with  $k_{\mathrm{i}}/K_{\mathrm{a}}^{\pm}$  being the dominant

Let us now address the question as to how the reactions of T<sub>OH</sub> lead to the nonlinear portion of the plots in Figure 3. Two possible explanations suggest themselves. The simplest one is to assume that  $T_{OH}^-$  is formed rapidly compared to the  $au_2$  process so that T<sub>OH</sub>, T<sub>A</sub>\*, and T<sub>A</sub> are in equilibrium with each other. In such a situation the competing formation of  $T_A{}^0$   $(k_{3p}$  and  $k_i)$  and of  $T_{OH}{}^0$   $(k_{5p}{}^9)$  is responsible for the  $\tau_2$  process, as shown in eq 15.

$$T_{OH}^{\circ} \xrightarrow{\kappa_{5p}} T_{OH} \xrightarrow{\kappa_{4}} 1_{H} \xrightarrow{\kappa_{1} \left[R_{2}NH\right]} T_{A}^{\pm} \xrightarrow{\kappa_{4}^{\pm}} T_{A}^{-\frac{\kappa_{3p}}{H^{+}}} T_{A}^{\circ} \quad (15)$$

If formation of  $T_A^0$  were slower than formation of  $T_{OH}^0$ ,  $\tau_2^{-1}$  would initially decrease with amine concentration since the population of T<sub>OH</sub> decreases in favor of that of T<sub>A</sub> and T<sub>A</sub> and thus the contribution of the (faster)  $T_{OH}^{0}$ -forming reaction to  $\tau_2^{-1}$  would decrease in favor of the (slower) T<sub>A</sub><sup>0</sup>-forming processes.

There are two problems with this interpretation. (a) The intercepts of the curved plots, which should correspond to  $k_{5p}$  at the respective pH,3 are much higher than expected on the basis of the known rate constants for protonation of  $T_{OH}^{-3}$  (b) The assumption that  $T_{OH}^{-1}$  is in rapid equilibrium with  $T_A^{\pm}$  and  $T_A^{-1}$  is not justified under the conditions of this study: the reciprocal relaxation time of the reaction 1-H  $\rightleftharpoons$   $T_{OH}^-$  + H<sup>+</sup>, for which the reactions 1-H +  $R_2NH$   $\rightleftharpoons$   $T_A^{\pm}$   $\rightleftharpoons$   $T_A^-$  + H<sup>+</sup> act as rapid preequilibria, is given by 10

$$\tau_{\text{OH}}^{-1} = \frac{k_4}{1 + \left(K_1 + \frac{K_1 K_a^{\pm}}{a_{\text{H}^{+}}}\right) [R_2 \text{NH}]} + k_{-4} a_{\text{H}^{+}} \quad (16)$$

with  $k_4 = 0.55 \text{ s}^{-1.3}$  and  $k_{-4} = 1.47 \times 10^5 \text{ M}^{-1} \text{ s}^{-1.3}$  At a representative morpholine concentration of 0.01 M eq 16 leads to  $\tau_{\mathrm{OH}}^{-1}$ =  $1.91 \times 10^{-3}$  s<sup>-1</sup> at pH 8.03,  $1.11 \times 10^{-3}$  s<sup>-1</sup> at pH 8.34, 5.86  $\times$  10<sup>-4</sup> s<sup>-1</sup> at pH 8.73, and 2.66  $\times$  10<sup>-4</sup> s<sup>-1</sup> at pH 9.27. These calculations show that  $\tau_{OH}^{-1}$  is less than a factor of 2 larger than  $\tau_2^{-1}$ ; i.e., the reaction  $1-H \rightleftharpoons T_{OH}^{-1} + H^+$  cannot be treated as a rapid equilibrium.

A better explanation is that  $\tau_2^{-1}$  refers to the superposition of two relaxation times, one for the  $T_A{}^0$ -forming reactions and one for the 1-H  $\rightleftharpoons$  T<sub>OH</sub><sup>-</sup> + H<sup>+</sup> equilibrium. The reason why they are not seen as separate processes is that two relaxation processes cannot usually be resolved when the two relaxation times differ by less than a factor of 3 and the relaxation amplitudes have the same sign. 11 Instead, one observes a single relaxation time which is an amplitude-weighted average of the two underlying relaxation times. In our experiments at 260 nm the amplitudes must indeed have the same sign because the extinction coefficients of the relevant species increase in the order  $\epsilon_{T_A\pm}$ ,  $\epsilon_{T_A}$ ,  $\epsilon_{T_{OH}}$   $\ll$   $\epsilon_{end \ products}$ . On the other hand, at 275 nm we have  $\epsilon_{T_A\pm}$ ,  $\epsilon_{T_A}$ ,  $\epsilon_{T_A}$ ,  $\epsilon_{T_{OH}}$   $\gg$  $\epsilon_{\rm end\ products}$ ; i.e., a reversal in one of the amplitudes should occur and biphasic kinetic plots should be observed at this wavelength. This is indeed the case as shown in Figure 4.

Additional evidence in support of the above analysis comes from experiments in D<sub>2</sub>O in which the two relaxation times manifest themselves by biphasic kinetic plots not only at 275 nm but even at 260 nm. This can be understood by a larger separation of the two relaxation times in the deuterated solvent which comes about mainly from a slowing down of the T<sub>A</sub><sup>0</sup>-forming process by a primary kinetic isotope effect on  $k_{3p}$  and/or  $k_i$ .

According to this interpretation, the intercepts ([MorH<sup>+</sup>] = 0) of the plots in Figure 3 would be equal to  $\tau_{OH}^{-1}$  for the 1-H  $\rightleftarrows$  T<sub>OH</sub><sup>-</sup> + H<sup>+</sup> equilibrium and should have a value of 0.5 s<sup>-1</sup> at all pH values.<sup>3</sup> The very steep increase of  $\tau_2$ <sup>-1</sup> with decreasing morpholinium ion concentration is consistent with this expectation.

4-Methoxybenzylidene and 4-N<sub>1</sub>N-Dimethylaminobenzylidene Meldrum's Acid. Adduct Formation. Kinetics of adduct formation was measured by using essentially the same procedures as with 1-H, except that  $\lambda_{max}$  for 1-OMe and 1-NMe<sub>2</sub> is at 370 and 470 nm, respectively. Results for 1-OMe in morpholine and piperidine buffers are summarized in Table S67 while results for 1-NMe<sub>2</sub> in morpholine buffers are summarized in Table S7.7 The reaction of 1-NMe<sub>2</sub> with piperidine was conducted in a piperidine buffer at pH 11.41, in a triethylamine buffer at pH 10.00, and in an N-methylmorpholine buffer at pH 8.40; the results are in Table S8.7 In the case of 1-OMe, the data obey eq 2 but with the  $k_{-1}a_{\rm H^+}/(K_a^{\pm} + a_{\rm H^+})$  term being either completely negligible, as in the piperidine reaction, or too small to allow its use for a precise determination of  $k_{-1}$  and  $K_a^{\pm}$  in the morpholine reaction; thus only

<sup>(9)</sup>  $k_{5p}$  is defined analogously to  $k_{3p}$ , eq 4. (10)  $k_4$  and  $k_{-4}$  are the rate constants for the  $K_4$  equilibrium in eq 15. (11) Bernasconi, C. F. "Relaxation Kinetics"; Academic Press: New York,

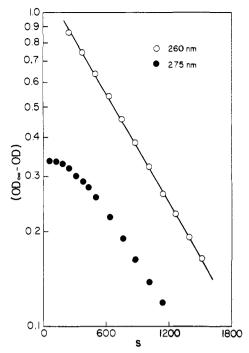


Figure 4. Reaction of 1-H with morpholine. First-order kinetic plots for the  $\tau_2$  process at 260 and 275 nm. [Mor] = 5 × 10<sup>-3</sup> M, [MorH<sup>+</sup>] =  $2.5 \times 10^{-2}$  M, pH 8.04. Biphasic nature of plot only observed at 275 nm; see text.

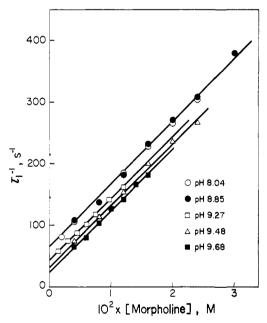


Figure 5.  $\tau_1^{-1}$  for the reaction of 1-NMe<sub>2</sub> with morpholine.

 $k_1 = 1.70 \pm 0.12 \times 10^5 \,\mathrm{M}^{-1} \,\mathrm{s}^{-1}$  for piperidine and  $k_1 = 9.9 \pm 0.5$  $\times$  10<sup>4</sup> M<sup>-1</sup> s<sup>-1</sup> for morpholine could be obtained from these data. In the reaction of 1-NMe<sub>2</sub> with morpholine the data fit eq 2, with  $k_1 = 1.00 \pm 0.15 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$  and with the  $k_{-1}a_{\text{H}^+}/(K_a^{\pm})$ +  $a_{H^+}$ ) term sufficiently large (Figure 5) to allow evaluation of  $k_{-1} = 79 \pm 14 \text{ s}^{-1}$  and p $K_a^{\pm} = 9.34 \pm 0.06$ . In the reaction of 1-NMe<sub>2</sub> with piperidine the  $k_{-1}a_{H^+}/(K_a^{\pm} + a_{H^+})$  term is either too small to be significant (high pH) or eq 2 simplifies to eq 6 (low pH) so that only  $k_1 = 2.1 \pm 0.7 \times 10^4 \,\mathrm{M}^{-1}\,\mathrm{s}^{-1}$  and  $k_{-1} =$  $0.91 \pm 0.07 \text{ s}^{-1}$  could be obtained.

pH-jump experiments were performed for the reactions of 1-OMe with both amines. The results are summarized in Table I. They yielded  $k_{-1} = 9.81 \pm 0.05 \, \text{s}^{-1}$  for the morpholine reaction and  $k_{-1} = 7.70 \pm 0.1 \times 10^{-2} \, \text{s}^{-1}$  for the piperidine reaction.

The  $pK_a^{\pm}$  values of the respective  $T_A^{\pm}$  adducts were determined spectrophotometrically from eq 8, just as for the adducts of 1-H.

Table II. Summary of Rate and Equilibrium Constants<sup>a</sup>

	morpholine $(pK_a^{AH} = 8.78)^b$	piperidine $(pK_a^{AH} = 11.40)^b$				
	1-H					
$k_1$ , $M^{-1}$ s <sup>-1</sup>	1.75 × 10 <sup>5</sup>	$2.70 \times 10^{5}$				
<i>k</i> c <sup>-1</sup>	1.98	$1.65 \times 10^{-2}$				
$K_{\cdot} = k_{\cdot}/k_{\cdot} \cdot M^{-1}$	8.80 × 10 <sup>4</sup>	$1.64 \times 10^{7}$				
$pK_{\alpha}^{\pm}$	$8.90 (8.95)^c$					
$pK_a^{\pm} - pKa^{AH}$	0.12	0.24				
$K_1 = k_1/k_1, M^{-1}$ $pK_a^{\pm}$ $pK_a^{\pm} - pKa^{AH}$ $k_3p^{H} + k_1/K_a, M^{-1}$ s <sup>-1</sup>	$5.6 \times 10^{5}$					
$k_{3p}^{3p}AH, M^{-1}S^{-1}$	$8.85 \times 10^{-4}$					
	1-OMe					
$k_1$ , $M^{-1}$ s <sup>-1</sup>	$9.9 \times 10^{4}$	$1.70 \times 10^{5}$				
$k_{-1}, s^{-1}$	9.81	$7.70 \times 10^{-2}$				
$K_1 = k_1/k_{-1}, M^{-1}$	$1.01 \times 10^{4}$	$2.21 \times 10^{6}$				
nK- <sup>±</sup>	9.23	11.84				
$pK_a^{\pm} - pK_a^{AH}$	0.45	0.44				
1-NMe <sub>2</sub>						
$k_1, M^{-1} s^{-1}$	$1.00 \times 10^4$	$2.1 \times 10^{4}$				
$k_{-1}$ , s <sup>-1</sup>	79	0.91				
$K_1 = k_1/k_1, M^{-1}$	$1.27 \times 10^{2}$	$2.3 \times 10^{4}$				
pKa <sup>±</sup>	9.34	11.96 <sup>d</sup>				
$K_1 = k_1/k_{-1}, M^{-1}$ $pK_a^{\pm}$ $pK_a^{\pm} - pK_a^{AH}$	0.56	$0.56^{d}$				
	1					

 $^a$  At 25 °C,  $\mu$  = 0.5 M.  $^b$  p $K_a$ AH = p $K_a$  of R<sub>2</sub>NH<sub>2</sub>\*.  $^c$  Kinetically determined via eq 12.  $^d$  Estimated assuming p $K_a$ \* (Pip) = p $K_a$ \* (Mor) – p $K_a$ AH (Mor).

Table III. β Values for Nucleophilic Addition

	1-H <sup>a</sup>	1-OMe <sup>a</sup>	1-NMe <sub>2</sub> <sup>a</sup>	1-H in CH <sub>3</sub> CN <sup>b</sup>
$\beta_{\mathbf{nuc}}(k_1)$	0.07	0.09	0.12	0.33
$\beta_{1g}(k_{-1})$	-0.80	-0.80	-0.74	-0.43
$\beta_{eq}(\vec{K_1})$	0.87	0.89	0.86	0.76
$\beta_{\mathbf{nuc}}^{\mathbf{n}} = \beta_{\mathbf{nuc}}/\beta_{\mathbf{eq}}$	0.08	0.10	0.14	0.43
$\beta_{lg}^{n} = \beta_{lg}/\beta_{eq}$	-0.92	-0.90	-0.86	-0.57

<sup>&</sup>lt;sup>a</sup> In water, this work. <sup>b</sup> Reference 4.

The results are summarized in Table S3.7

### Discussion

Structure-Reactivity Relationships for Adduct Formation. All rate and equilibrium constants determined in this study are summarized in Table II. Two-point Brønsted plots of  $\log k_1$ ,  $\log$  $k_{-1}$ , and log  $K_1$  vs. the p $K_a$  of the conjugate acid of the amines yield the parameters  $\beta_{\text{nuc}}$ ,  $\beta_{\text{lg}}$ , and  $\beta_{\text{eq}}$  summarized in Table III. The values of  $\beta_{\text{eq}}$  lie between 0.86 and 0.89; i.e., they are slightly smaller than the value of  $\beta_{eq} = 1.00$  for the addition of a proton to the amines. This means that the amount of charge which is "seen" by the nitrogen atom in  $T^{\pm}$  is slightly less than in  $R_2NH_2^+$ . This is probably due to the fact that T<sup>±</sup> is a zwitterion in which the negative charge helps in stabilizing the positive charge, electrostatically and/or by intramolecular hydrogen bonding. Additional evidence in support of intramolecular hydrogen bonding will be presented below.

Table III includes normalized  $\beta$  values which are obtained by dividing  $\beta_{\text{nuc}}$  and  $\beta_{\text{lg}}$  by  $\beta_{\text{eq}}$ ; they are equivalent to the slopes of plots of  $\log k_1 (\log k_{-1})$  vs.  $\log K_1$ . Since  $\beta_{\text{nuc}}^n - \beta_{1g}^n = 1$  the advantage of the normalized eta values is that they are more conveniently used as a measure of the charge in the transition state relative to that of reactants or products.

We have also calculated the respective  $\beta$  values for the reaction of 1-H with piperidine and morpholine in acetonitrile;4 they are included in Table III.  $\beta_{eq} = 0.76$  in acetonitrile is still smaller than in water, showing an even greater stabilization of the positive charge by the negative charge, as one would expect in a solvent of lower polarity.

 $\beta_{\text{nuc}}$  in water is seen to be very small, much smaller than in acetonitrile. This indicates that there is only a small positive charge on nitrogen in the transition state, implying that C-N bond formation has made little progress in the forward direction, and that C-N bond cleavage is almost complete in the reverse direction.

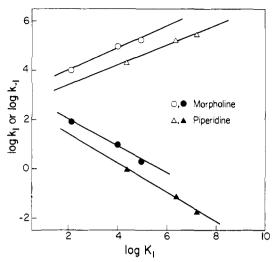


Figure 6. Extended Brønsted plots of  $\log k_1 (\log k_{-1})$  vs.  $\log K_1$ .

Table IV. α Values

	morpholine $^a$	piperidine <sup>a</sup>	piperidine in CH <sub>3</sub> CN <sup>b</sup>
$\alpha^{\mathbf{n}}(k_{\perp})$	0.45	0.40	0.53
$\alpha^{\mathbf{n}}(k_{-1})$	-0.55	-0.60	-0.47
$\alpha_{\mathbf{e}\mathbf{q}}$	0.70	0.70	

a In water, this work. b Reference 4.

As far as we are aware, the  $\beta_{\text{nuc}} = 0.07$  for the reaction of 1-H, corresponding to a  $k_1^{\text{Pip}}/k_1^{\text{Mor}}$  ratio of 1.59, is the lowest yet reported for activated olefins. Some representative  $k_1^{\text{Pip}}/k_1^{\text{Mor}}$ ratios are 17.3 for p-tolyl vinyl sulfone in ethanol, 12 13.7 for 1-p-nitrophenyl-1-tosyloxy-2,2-diethoxycarbonylethylene in acetonitrile, 13 12.0 for 1-H in chloroform, 4 10.1 for acrylonitrile in water, 14 9.9 for p-methoxyphenyl vinyl ketone in water, 14 8.0 for 1,1-dicyano-2-p-dimethylamino-2-ethoxyethylene in 2-propanol,15 5.25 for  $\beta$ -nitrostyrene in 50% Me<sub>2</sub>SO-50% water, <sup>16</sup> and 4.2 for benzylidenemalononitrile in 50% Me<sub>2</sub>SO-50% water. Small  $\beta_{\text{nuc}}$ values are, however, not uncommon in reactions of amines with acyl carbon.17

There is a small trend toward increasing  $\beta_{nuc}$  values in going from 1-H to 1-OMe to 1-NMe2. The trend, which corresponds to a change in  $k_1^{\text{Pip}}/k_1^{\text{Mor}}$  ratio from 1.59 (H) to 1.72 (OMe) to 2.10 (NMe<sub>2</sub>), is, however, barely outside experimental error; whether the trend is real or not is of little importance with regards to the major conclusions reached in this paper.

Figure 6 shows "extended Brønsted plots" in which the effect of the X substituent on  $k_1$  and  $k_{-1}$  is correlated with that on  $K_1$ . The slopes of the least-squares<sup>19</sup> lines correspond to normalized  $\alpha$  values  $(\alpha^n)$ . They are summarized in Table IV, along with

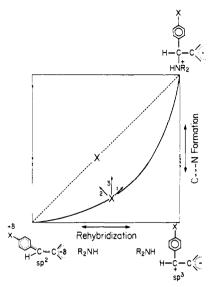


Figure 7. Reaction coordinate diagram with the horizontal axis representing rehybridization of the  $\beta$  carbon and the vertical axis representing C-N bond formation. Curved line represents the reaction coordinate in water, the dashed line the reaction in acetonitrile; X is the location of the transition states.

 $\alpha^n$  values for the piperidine reaction in acetonitrile.<sup>4,22</sup>

The meaning of the normalized  $\alpha$  values is less straightforward than that of the normalized  $\beta$  values discussed above. The substituent effects are in the direction typical for negative charge stabilization in the product and transition state (positive  $\rho$  values for  $K_1$  and  $k_1$ ) yet the site of negative charge buildup which occurs mainly on the oxygens of the (COO)<sub>2</sub>C(CH<sub>3</sub>)<sub>2</sub> moiety is much farther away from the X substituent than the site of the positive charge localized on the nitrogen atom. Hence the substituent effects must arise mainly from the loss of conjugation between the benzene ring and the rest of the molecule, brought about by the change from sp<sup>2</sup> to sp<sup>3</sup> hybridization of the benzylic carbon.<sup>2</sup> According to this notion  $\alpha^{n}(k_1)$  would simply be a measure of the loss of conjugation or of the progress of rehybridization of the benzylic carbon in the transition state. Other possible factors influencing  $\alpha^n(k_1)$  will be discussed in the next section.

There is a slight difference between the  $\alpha^n$  values in the piperidine and the morpholine reactions. Whether the difference is significant or due to experimental error is related to the same question, alluded to before, regarding the small trend in the  $\beta_{nuc}$ values with changing X substituent. This is because  $\partial^2 \log k_1/(\partial t)$  $\log K_1)^2 = \partial \beta_{\text{nuc}}^{\text{n}} / \partial \log K_1 = \partial \alpha^{\text{n}}(k_1) / \partial \log K_1.$ 

Transition-State Structure. The structure-reactivity parameters discussed in the previous section allow us to draw some conclusions about the transition-state structure. We shall illustrate this for the reaction of 1-H with piperidine but the conclusions are similar for the other reactions.

In water  $\beta_{\text{nuc}}^{\text{n}} = 0.08$  and  $\alpha^{\text{n}}(k_1) = 0.40$ . The first number indicates very little positive charge development on nitrogen and thus very little C-N bond formation in the transition state. The

<sup>(12)</sup> McDowell, S. T.; Stirling, C. J. M. J. Chem. Soc. B 1967, 343.
(13) Rappoport, Z.; Topol, A. J. Chem. Soc., Perkin Trans. 2 1972, 1824.

<sup>(14)</sup> Dienys, G. J.; Hunskaite, L. J. J.; Valtkevicius, A. H.; Klimavicius, A. V. Org. React. (USSR) 1975, 12, 275.

<sup>(15)</sup> Rappoport, Z.; Peled, P. J. Chem. Soc., Perkin Trans. 2 1973, 616.
(16) Bernasconi, C. F.; Carré, D. J.; Fox, J. P. In "Techniques and Applications of Fast Reactions in Solution"; Gettins, W. J., Wyn-Jones, E., Eds.; Reidel: Holland, 1979; p 453.

<sup>(17)</sup> See, e.g., (a) Satterthwait, A. C.; Jencks, W. P. J. Am. Chem. Soc. 1974, 96, 7018. (b) Bond, P. M.; Castro, E. A.; Moodie, R. B. J. Chem. Soc., Perkin Trans. 2 1976, 68.
(18) Hine, J. J. Am. Chem. Soc. 1971, 93, 3701.

<sup>(19)</sup> The plots in Figure 6 are slightly curved. If a curve were drawn through the points and the slope of the tangents were taken as  $\alpha^n$ , one would obtain  $\alpha^{n}(k_1) = 0.21$ , 0.36, and 0.74 for 1-H, 1-OMe, and 1-NMe<sub>2</sub>, respectively, in the morpholine reaction, while these values are 0.16, 0.29, and 0.67, respectively, in the piperidine reaction. Such enormous changes, which would Imply equivalent changes in  $\rho$ , seem unlikely. Hence we prefer a straight-line correlation. This approach is supported by the fact that the correlation in acetonitrile, which includes the p-bromo substituent, is well approximated by a straight line.

<sup>(20)</sup> Note that  $\alpha^n(k_1)$  is equivalent to  $\rho(k_1)/\rho(K_1)$  and  $\alpha^n(k_{-1}) =$  $\rho(k_{-1})/\rho(K_1)$ . Nonnormalized  $\alpha$  values would, in analogy to the nonnormalized  $\beta$  values, be defined as  $\alpha(k_1) = d \log k_1/d \log K_{OH}$ ,  $\alpha(k_{-1}) = d \log k_1/d \log K_{OH}$ maintage by varieties, be defined as  $\alpha(k) = 0$  of  $k_1/4$  of  $k_1/4$  of  $k_2/4$  of  $k_3/4$  of  $k_$ charge on nitrogen.

<sup>(21)</sup> Margaretha, P.; Schuster, P.; Polansky, O. E. Tetrahedron 1971, 27,

<sup>(22)</sup> Correlation includes 1-H, 1-OMe, and 1-Me<sub>2</sub>N as well as the p-bromo derivative.

<sup>(23)</sup> The importance of this factor was already pointed out by Schuster et al., who showed that  $K_{OH}$  for OH addition correlates with  $\sigma^+$  rather than  $\sigma$ . Also, in the reaction of piperidine in acetonitrile both  $k_1$  and  $K_1$  correlate with  $\sigma^+$  rather than  $\sigma$ .

second number implies that loss of conjugation or rehybridization of the benzylic carbon is almost half complete in the transition state. In other words, in water the transition state appears to be strongly imbalanced in the sense that C-N bond formation lags considerably behind rehybridization of the benzylic carbon. This contrasts with the situation in acetonitrile where  $\beta_{\text{nuc}}^{n} = 0.43$  and  $\alpha^{n}(k_1) = 0.53$ , indicating a much more balanced transition state.

Similar apparent transition-state imbalances have been reported in a variety of systems<sup>24-28</sup> and several explanations have been offered. 24-27 If in our system  $\alpha^{n}(k_1)$  is simply a measure of rehybridization of the benzylic carbon, the situation can be illustrated by a More O'Ferrall-Jencks<sup>24d,29,30</sup> reaction coordinate diagram (Figure 7) that has separate axes for C-N bond formation (vertical axis) and for rehybridization of the benzylic carbon (horizontal axis). The lower left corner represents the reactants, the upper right corner is  $T_A^{\pm}$ , while the lower right corner represents a hypothetical state in which the benzylic carbon has been fully rehybridized to sp<sup>3</sup>. The structure in the upper left corner would involve a tetra- or pentavalent sp<sup>2</sup> carbon and is of such high energy that it does not need to be considered here.

The dashed line represents a reaction coordinate in which C-N bond formation and rehybridization are essentially synchronous as appears to be approximately the case in acetonitrile, with a transition state approximately halfway between reactants and T<sub>A</sub><sup>±</sup>. The curved line would describe the situation in aqueous solution.

The above interpretation of the transition-state imbalance in water is similar to one given for carbinolamine dehydration.<sup>24a</sup> The shift from the curved to the diagonal reaction coordinate in changing from water to acetonitrile could possibly be understood by a destabilization of the right edge of the diagram by the less polar solvent.<sup>31</sup> This would lead to a Hammond effect<sup>24d,29,30</sup> along the reaction coordinate (arrow 1) and a Thornton effect<sup>24d,32</sup> perpendicular to it (arrow 2), with the result shown as arrow 3. Note that this interpretation implies that the transition state is equilibrated with respect to solvation.

Another, possibly complementary, interpretation of the transition-state imbalance has been suggested by Jencks.<sup>33</sup> According to Jencks some electron density from the attacking nitrogen atom might tend to be localized to some extent on the benzylic carbon (or be delocalized into the benzene ring) in the transition state. This electron density would be "seen" by the X substituent and would manifest itself by an enhanced  $\alpha^{n}(k_1)$  value. In the product  $(T_A^{\pm})$ , on the other hand, this electron density will be delocalized into the (COO)<sub>2</sub>C(CH<sub>3</sub>)<sub>2</sub> moiety, far removed from the X substituent, and hence will not be so much "seen" by the substituent. This interpretation is very similar to one given for the nitroalkane anomaly. 25c,25d,34

A possible reason why the negative charge may not be immediately delocalized is that the solvation of the oxygen atoms by water is an important stabilizing factor. This solvation entails a large solvent reorganization in going from reactants to products; it may lag behind C-N bond formation, thereby retarding the delocalization of the negative charge. In acetonitrile, which is

(24) (a) Sayer, J. M.; Jencks, W. P. J. Am. Chem. Soc. 1977, 99, 464. (b) Hupe, D. J.; Jencks, W. P. *Ibid.* 1977, 99, 451. (c) Funderburk, L. H.; Jencks, W. P. *Ibid.* 1978, 100, 6708. (d) Jencks, D. A.; Jencks, W. P. *Ibid.* 1977, 99, 7948. (e) Young, P. R.; Jencks, W. P. *Ibid.* 1979, 101, 3288. (f) Gilbert, H. F.; Jencks, W. P. *Ibid.* 1979, 101, 5774. (25) (a) Bordwell, F. G.; Boyle, W. J. J. Am. Chem. Soc. 1972, 94, 3907. (b) Bordwell, F. G.; Boyle, W. J. *Ibid.* 1975, 97, 3447. (c) Kresge, A. J. Can. Chem. 1975, 52, 1807. (d) Berdwell, F. G.; Boyle, W. J. *Ibid.* 1975, 97, 3447. (e) Kresge, A. J. Can.

J. Chem. 1975, 52, 1897. (d) Bordwell, F. G.; Bartmess, J. E.; Hautala, J. A. J. Org. Chem. 1978, 43, 3107.

(26) Bernasconi, C. F.; Gandler, J. R. J. Am. Chem. Soc. 1978, 100, 8117.

(27) Bell, R. P.; Sørensen, P. E. J. Chem. Soc., Perkin Trans. 2 1976, 1594. (b) Arora, M.; Cox, B. G.: Sørensen, P. E. Ibid. 1979, 103. (28) Ritchie, C. D.; Gandler, J. R. J. Am. Chem. Soc. 1979, 101, 7318. (29) More O'Ferrall, R. A. J. Chem. Soc. B 1970, 274. (30) Jencks, W. P. Chem. Rev. 1972, 72, 705. (31) The decrease in stability of  $T_A^{\pm}$  relative to reactants is reflected in the decrease of  $K_1$  from  $1.64 \times 10^7$  in water to  $7.4 \times 10^4$  in acetonitrile<sup>4</sup> for the 1-H/piperidic system. the 1-H/piperidine system.

a poor anion solvator, 35 there is less stabilization of the negative oxygens by solvation and therefore a smaller need for solvent reorganization prior to charge delocalization. Hence nothing is gained by delaying charge delocalization into the (COO)<sub>2</sub>C(CH<sub>3</sub>)<sub>2</sub> moiety and the transition state is more or less balanced. It is noteworthy that, just as a change from water to acetonitrile leads to a balanced transition state, a similar change from water to Me<sub>2</sub>SO leads to the disappearance of the nitroalkane anomaly<sup>34</sup> which has been explained along similar lines.35

Acidity of  $T_A^{\pm}$ . The data summarized in Table II indicate that the proton on  $T_A^{\pm}$  is somewhat less acidic than the proton on  $R_2NH_2^+$  (p $K_a^+$  – p $K_a^{AH}$  > 0), implying that the negatively charged ArCH(COO)<sub>2</sub>C(CH<sub>3</sub>)<sub>2</sub> moiety is somewhat electron donating. This contrasts with the situation for 4,1, 5,16 and 6,36 which are

more acidic than the respective  $R_2NH_2^+$  (p $K_a^{\pm}$  - p $K_a^{AH} \approx -0.7$ for  $4 \approx -2.7$  for  $5 \approx -4.7$  for 6). Even though the data are not strictly comparable because the ones for 4, 5, and 6 refer to 50% Me<sub>2</sub>SO-50% water, it is unlikely that the observed reversal in p $K_a^{\pm}$  $-pK_a^{AH}$  for the 1-H adducts is entirely due to a solvent effect.

A factor which would tend to reduce the acidity of T<sub>A</sub><sup>±</sup> in the present case is stabilization by intramolecular hydrogen bonding between the ammonio proton and one of the alkoxycarbonyl oxygens.4 The proton-transfer data discussed below are consistent with such an intramolecular hydrogen bond.

Proton Transfer to Carbon. Our data on the cleavage reaction are consistent with rate-limiting carbon protonation and we have shown that eq 3 adequately accounts for all significant pathways. In particular we have shown that carbon protonation of the zwitterionic form  $T_A^{\pm}$  by  $R_2NH_2^+$  ( $k_{3p}^{+AH}$ ) or by water ( $k_{3p}^{+w}$ ) is too slow to significantly contribute to the rate in the pH range studied.

The rate constant for carbon protonation of  $T_A^-$  (morpholine/1-H) by morpholinium ion is  $k_{3p}^{AH} = 8.85 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$ . This value is about 1000 times lower than expected, based on the following considerations. The p $K_a$  value (C-H) of  $T_{OH}^0$  is 2.95<sup>3</sup> while that of Meldrum's acid is 4.83,<sup>37</sup> showing that the PhCHOH moiety increases the acidity of Meldrum's acid by 1.9 pK units. In view of the somewhat weaker electron-withdrawing strength of nitrogen compared to oxygen, the  $pK_a$  (C-H) of  $T_A^0$  is estimated to be between 3.5 and 4.0. Based on Eigen's<sup>37</sup> data for the protonation of Meldrum's acid anion and of barbiturate ion by ammonium ions, we estimate that  $k_{3p}^{AH}$  should be between 0.5 and  $2.0~{\rm M}^{-1}~{\rm s}^{-1}$ . The low experimental value of  $8.85\times10^{-4}~{\rm M}^{-1}~{\rm s}^{-1}$ is most likely due to a steric effect. Molecular models indicate that there is in fact significant steric hindrance, mainly due to the simultaneous presence of the bulky R2N moiety and one of

the methyl groups of the  $(COO)_2C(CH_3)_2$  moiety.<sup>38</sup>
The value of  $k_{3p}^H + k_i/K_a^{\pm}$ , obtained for the sum of the hydronium ion pathways (eq 3), is  $5.6 \times 10^5 \,\mathrm{M}^{-1}\,\mathrm{s}^{-1}$ . The question arises whether one of the two pathways is dominant. Let us assume that the pathway involving direct protonation of carbon  $(k_{3p}^{\rm H})$  is dominant, i.e.,  $k_{3p}^{\rm H} \gg k_{\rm i}/K_a^{\pm}$ , which implies  $k_{3p}^{\rm H} = 5.6 \times 10^5 \, {\rm M}^{-1} \, {\rm s}^{-1}$ . This value seems too high in comparison to similar proton transfers. For example, protonation of the considerably more basic Meldrum's acid anion (p $K_a = 4.83$  compared to 3.5 to 4.0 estimated for  $T_A^-$ ) has a  $k_{3p}^{H}$  of 4.75 × 10<sup>5</sup> M<sup>-1</sup> s<sup>-1</sup>,<sup>37</sup> that of barbiturate anion (p $K_a = 4.0^{37}$ ) a  $k_{3p}^{H} = 10^{5}$  M<sup>-1</sup> s<sup>-1</sup>,<sup>37</sup> and

<sup>(32)</sup> Thornton, E. R. J. Am. Chem. Soc. 1967, 89, 2915.
(33) Jencks, W. P., personal communication.
(34) Keeffe, J. R.; Morey, J.; Palmer, C. A.; Lee, J. C. J. Am. Chem. Soc. **1979**, 101, 1295.

<sup>(35)</sup> Recent evidence suggests that there is in fact a great similarity between nucleophilic additions to activated olefins and deprotonation of carbon

acids in general with respect to the activation process.

(36) Bernasconi, C. F.; Carré, D. J. J. Am. Chem. Soc. 1979, 101, 2698.

(37) Eigen, M.; Ilgenfritz, G.; Kruse, W. Chem. Ber. 1965, 1623.

<sup>(38)</sup> When the R<sub>2</sub>N group is replaced by the OH group as in T<sub>OH</sub>, no significant steric effect is observed.<sup>3</sup> A relatively small effect is observed when the  $C(COO)_2C(CH_3)_2$  moiety is replaced by  $C(NO_2)_2$  as in  $T_A$  derived from 1,1-dinitro-2,2-diphenylethylene and amines;<sup>36</sup> however, in this case, protonation on *nitrogen* becomes sterically strongly hindered.<sup>36</sup>

that of  $T_{OH}^-$  (p $K_a = 2.95$ ) a  $k_{3p}^H = 2.4 \times 10^4 \, M^{-1} \, s^{-1.3}$  Based on a Brønsted relation for these three compounds one estimates  $k_{3p}^H$  for  $T_A^-$  to be  $0.5-1.0 \times 10^5 \, M^{-1} \, s^{-1}$ , which implies  $k_{3p}^H \ll k_i/K_a^{\pm}$ ; i.e., the intramolecular pathway is the dominant one, with  $k_i \approx 7 \times 10^{-4} \, s^{-1.39}$ 

Our observation of an intramolecular proton transfer between nitrogen and carbon is one of very few reported to date. A similar intramolecular reaction was shown to occur in the adduct between 1,1-dinitro-2,2-diphenylethylene and morpholine (6) where  $k_i$  for the reaction  $T_A^{\pm} \rightarrow T_A^0$  was estimated to be 240 s<sup>-1</sup>.<sup>36</sup> This  $k_i$  is much higher than  $k_i = 7 \times 10^{-4}$  s<sup>-1</sup> in the 1-H/morpholine system, partly because the reaction is thermodynamically favored with  $\Delta pK \approx 1-1.5^{36}$  while the one in the 1-H/morpholine system is disfavored, with  $\Delta pK \approx -5$  to -5.5. But this is not the whole explanation.  $k_i$  for the 1,1-dinitro-2,2-diphenyl system has about the same numerical value as the rate constant for intermolecular protonation of  $T_A^-$ , as well as for protonation of  $CH_3\bar{C}(NO_2)_2$ , by acids for which  $\Delta pK$  is about the same ( $\approx 1-1.5$ ) as in the intramolecular reaction. In contrast, in the 1-H/morpholine system,  $k_i$  is about 10 times lower than  $k_{3p}^{AH}$  which refers to intermolecular protonation by an acid (morpholinium ion) for which  $\Delta pK$  is again about the same ( $\approx$ -5 to -5.5) as in the intramolecular reaction, or  $10^4$  times lower than the value of  $k_{30}^{AH}$ expected in the absence of steric hindrance.<sup>41</sup>

It is too early to speculate whether the much lower  $k_{\text{intra}}/k_{\text{inter}}$ ratio for the 1-H/morpholine system compared to that for 6 is part of a general pattern typical for the type of activating groups, or whether it is due to specific differences between the two systems. One factor which could reduce  $k_i$  in the 1-H/morpholine system is intramolecular hydrogen bonding to one of the alkoxycarbonyl oxygens42,43 as we have suggested earlier to account for the unusually high  $pK_a^{\pm}$ .

In describing the transition state for the intramolecular proton transfer one is virtually compelled to assume that one (or several) water molecules acts as an intermediary<sup>44,45</sup> because a direct proton transfer would require a four-membered cyclic transition state. A similar water-bridged transition state has been postulated by Kirby et al. 46 for the intramolecular proton transfer from carbon to a carboxylate ion in monoethyl malonate monoanion. As pointed out by Jencks et al.,47 the fact that a water molecule must be involved in these reactions is noteworthy because it has often been assumed that protonation of carbon by general acids occurs directly, i.e., without an intermediate water molecule.<sup>48</sup>

Our results are noteworthy in a different context as well. The sequence  $1 + R_2NH \rightleftharpoons T_A^{\pm} \rightleftharpoons T_A^{0}$  implies that in the reverse direction we are dealing with a  $\beta$ -elimination (E1cB) of an amine in which the deprotonation step is intramolecular and produces a good leaving group in the form of a protonated amine. Despite the apparent similarity with our systems, no evidence for an

(39) In view of the large steric effect on  $k_{3p}^{AH}$  one might reasonably expect some steric effect on  $k_{3p}^{H}$  as well, which would reduce it even further, making the  $k_i$  pathway even more dominant.

analogous intramolecular proton transfer could be found in the β-elimination of 9-(dimethylaminomethyl)fluorene.<sup>49</sup> It appears likely that the much lower carbon acidity of 9-(dimethylaminomethyl)fluorene (p $K_a \sim 15^{49}$ ) compared to that of  $T_A^0$  from 1-H/morpholine (p $K_a \approx 3.5-4.0$ ) or the analogous  $T_A^0$  compound derived from 1,1-dinitro-2,2-diphenylethylene and morpholine (p $K_a \approx 5^{36}$ ) is at least partly responsible for the different behavior.<sup>50</sup> More work is currently in progress aimed at defining the factors which determine the incidence or absence of a significant intramolecular pathway.

## Experimental Section

Materials. 1-H was available from a previous study.3 1-OMe and 1-NMe<sub>2</sub> were prepared according to known procedures, 52 mp 123-124 °C (lit. 126 °C<sup>52</sup>) for 1-OMe, 173.5 °C (lit. 175 °C)<sup>52</sup> for 1-NMe<sub>2</sub>. Morpholine, N-methylmorpholine, and piperidine were purified and stored as described before.36 Cacodylic acid (Sigma, crystalline free acid) and glacial acetic acid (Mallinckrodt AR) were used without further purification. Formic acid (Mallinckrodt AR 88%) was distilled.

Kinetic Experiments. Stock solutions (10<sup>-2</sup> M) of the substrates were prepared in Me<sub>2</sub>SO which were stable for several days. These stock solutions were used to prepare the acidic (pH ~3) aqueous<sup>53</sup> substrate solutions for the kinetic experiments, shortly before the start of a kinetic run. For the pH-jump experiments the substrate solutions were prepared in the same way and then immediately mixed with an amine solution of high enough concentration to assure virtually complete conversion into T<sub>A</sub>\* and/or T<sub>A</sub>-8 This solution was then immediately placed into the stopped-flow apparatus and the pH-jump performed.

A Durrum-Gibson stopped-flow spectrophotometer was used for the fast reactions and a Gilford spectrophotometer for the slow reactions. The pH was measured with a Corning Model 110 pH meter; for the stopped-flow experiments, the pH was determined in mock mixing experiments as described before. 36

Spectra and  $pK_a$  Measurements. The spectra shown in Figure 1 were recorded on a Cary 14 spectrophotometer while the spectrophotometric  $pK_a^{\pm}$  determinations were carried out in a Gilford spectrophotometer. The p $K_a$  values of morpholine and piperidine at 0.5 M ionic strength were determined potentiometrically,

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Supplementary Material Available: Reaction of benzylidene Meldrum's acid with morpholine (Table S1) and piperidine (Table S2), spectrophotometric  $pK_a$  determination for the piperidine and morpholine adducts of 1-H and 1-OMe (Table S3), kinetic  $pK_a$ determination for the morpholine adduct of 1-H (Table S4), cleavage reaction of benzylidene Meldrum's acid with morpholine (Table S5), reaction of 4-methoxybenzylidene Meldrum's acid with morpholine and piperidine (Table S6), and reaction of pdimethylaminobenzylidene Meldrum's acid with morpholine (Table S7) and piperidine (Table S8) (8 pages). Ordering information is given on any current masthead page.

<sup>(40)</sup> Bernasconi, C. F., Kanavarioti, A. J. Org. Chem. 1979, 44, 4829. (41) Assuming no steric effect on  $k_i$ ; if  $k_i$  were also affected by a steric effect, this number would be reduced.

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(43) Crooks, J. E. Compr. Chem. Kinet. 1977, 8, 197.
(44) Grunwald, E.; Eustace, D. In "Proton Transfer Reactions"; Caldin,

E., Gold, V., Eds.; Wiley: New York, 1975; p 103.
(45) Schuster, P.; Wolschann, P.; Tortschanoff, K. In "Chemical Relaxation in Molecular Biology"; Pecht, I., Rigler, R., Eds.; Springer: New York, 1977; p 107.
(46) Kirby, A. J.; Lloyd, G. J. J. Chem. Soc., Perkin Trans. 2 1976, 1762.

<sup>(47)</sup> Young, P. R.; Jencks, W. P. J. Am. Chem. Soc. 1977, 99, 8238. (48) (a) Goodall, D. M.; Long, F. A. J. Am. Chem. Soc. 1968, 90, 238. (b) Hassid, A. I.; Kreevoy, M. M.; Liang, T.-M. Faraday Symp. Chem. Soc. 1975, 10, 69.

<sup>(49)</sup> Kelly, R. P.; More O'Ferrall, R. A. J. Chem. Soc., Perkin Trans. 2

<sup>(50)</sup> For the weakly acidic 9-(dimethylaminomethyl)fluorene the Brønsted  $\beta$  coefficient is expected to be high because deprotonation is thermodynamically unfavorable  $^{42.51}$  and thus a strong external base (OH<sup>-</sup>) would be relatively much more effective than the relatively weak intramolecular base. In our own much more acidic systems the Brønsted  $\beta$  coefficient is low because deprotonation is thermodynamically favored with most bases.<sup>37</sup> Thus there is only a small sensitivity to the basicity of the base and the relatively weak intramolecular base can compete favorably even with a strong external base, as long as the latter is present at low concentrations.

<sup>(51)</sup> Bell, R. P. "The Proton in Chemistry"; Cornell University Press: Ithaca, N.Y., 1973; p 194.

<sup>(52)</sup> Schuster, P.; Polansky, O. E.; Wessely, F. Monatsh. Chem. 1964, 95,

<sup>(53)</sup> Containing ≤1% Me<sub>2</sub>SO.